

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 197(b) or 1.97(c))

Docket No.
13768.198.1

In Re Application Of:

Mark Lucovsky, et al.

Application No.	Filing Date	Examiner	Customer No	Group Art Unit	Confirmation No.
10/003,750	October 22, 2001	Lan Dai T. Troung	47973	2152	4906

Title:

IDENTITY-CENTRIC DATA ACCESS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
OR
☒ the fee set forth in 37 CFR 1.17(p).

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \$ _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below.
- ☐ Charge the amount of \$ _____.
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☒ Payment by credit card using the payment option in eFiler with RAM will be used to cover the payment of fees with respect to this Information Disclosure Statement.

Dated this 31 day of October, 2007.


Signature

RICK D. NYDEGGER
Registration No.: 28,651
J. LAVAR OLDHAM
Registration No.: 53,409
Attorneys for Applicant
Customer No.: 047973

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)
)
	Mark Lucovsky, et al.)
)
Serial No.:	10/003,750) Art Unit
) 2152
Filed:	October 22, 2001)
)
Confirmation No.:	4906)
)
For:	IDENTITY-CENTRIC ACCESS)
)
Examiner:	Lan Dai T. Truong)
)
Customer No.:	47973)

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

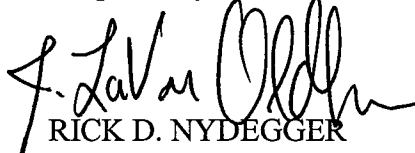
Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed Non-U.S. Patent references or relevant portion thereof is also enclosed.

Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 31 day of October, 2007.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "R. D. Nydegger", written over the printed name.

RICK D. NYDEGGER

Registration No. 28,651

J. LAVAR OLDHAM

Registration No. 53,409

Attorneys for Applicant

Customer No. 047973

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Form PTO-1449

Sheet 1 of 10

Applicant: Mark Lucovsky, et al.

Serial No.: 10/003,750

Att'y Docket No.: 13768.198.1

Filing Date: October 22, 2001

Group: 2152

Confirmation No.: 4906

For: IDENTITY-CENTRIC DATA ACCESS

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Patent Number</u>	<u>Issue Date</u>	<u>Name</u>
_____ A1.	7136859	11/14/06	Lucovsky
_____ A2.	6985958	1/10/06	Lucovsky
_____ A3.	7284271	10/16/07	Lucovsky
_____ A4.	7024662	4/4/06	Elvanoglu
_____ A5.	6980993	12/27/05	Horvitz
_____ A6.	6823369	11/23/04	Leach
_____ A7.	7284197	10/16/07	Lucovsky
_____ A8.	7246122	7/17/07	Lucovsky
_____ A9.	7206788	4/17/07	Horvitz
_____ A10.	7062539	6/13/06	Leach
_____ A11.	6816886	11/9/04	Elvanoglu
_____ A12.	6330610	12/11/01	Docter

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Form PTO-1449

Sheet 2 of 10

Applicant: Mark Lucovsky, et al.

Serial No.: 10/003,750

Att'y Docket No.: 13768.198.1

Filing Date: October 22, 2001

Group: 2152

Confirmation No.: 4906

For: IDENTITY-CENTRIC DATA ACCESS

_____ A13.	6106573	8/22/00	Mahalingaiah
_____ A14.	6243830	6/5/01	Nakatsugawa
_____ A15.	6510439	1/21/03	Rangarajan
_____ A16.	6581095	6/17/03	Kim
_____ A17.	6665756	12/16/03	Abramson
_____ A18.	6694429	2/17/04	Kalmanek
_____ A19.	6868447	5/5/05	Slaughter
_____ A20.	6161125	12/12/00	Traversat
_____ A21.	6857013	2/15/05	Ramberg
_____ A22.	6594666	7/15/03	Biswas
_____ A23.	6986145	1/10/06	Gangopadhyay
_____ A24.	6571279	5/27/03	Herz
_____ A25.	7250846	7/31/07	Ebling
_____ A26.	5485409	1/16/96	Gupta
_____ A27.	5544285	8/6/96	Glaser
_____ A28.	6122629	9/19/00	Walker

Examiner:

Date Considered:

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Form PTO-1449

Sheet 3 of 10

Applicant: Mark Lucovsky, et al.

Serial No.: 10/003,750

Att'y Docket No.: 13768.198.1

Filing Date: October 22, 2001

Group: 2152

Confirmation No.: 4906

For: IDENTITY-CENTRIC DATA ACCESS

_____ A29.	6148290	11/14/00	Dan
_____ A30.	6192380	2/20/01	Light
_____ A31.	6272506	8/7/01	Bell
_____ A32.	6651217	11/18/03	Kennedy
_____ A33.	6662340	12/9/03	Rawat
_____ A34.	6850975	2/1/05	Danneels
_____ A35.	6892201	5/10/05	Brown
_____ A36.	6925307	8/2/05	Mamdani
_____ A37.	6976078	12/13/05	Icken
_____ A38.	6993502	1/31/06	Gryglewicz
_____ A39.	7072843	7/4/06	Menninger
_____ A40.	7216287	5/8/07	Colson
_____ A41.	5819092	10/6/98	Ferguson
_____ A42.	5930801	7/27/99	Falkenhainer
_____ A43.	6151624	11/21/00	Teare

Examiner:

Date Considered:

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Form PTO-1449

Sheet 4 of 10

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Serial No.: 10/003,750

Att'y Docket No.: 13768.198.1

Filing Date: October 22, 2001

Group: 2152

Confirmation No.: 4906

For: IDENTITY-CENTRIC DATA ACCESS

_____ A44.	6253204	6/26/01	Glass
_____ A45.	6327574	12/4/01	Kramer
_____ A46.	6711585	3/23/04	Copperman
_____ A47.	6820204	11/16/04	Desai
_____ A48.	5218680	6/8/93	Farrell
_____ A49.	6003079	12/14/99	Friedrich
_____ A50.	6308216	10/23/01	Goldszmidt
_____ A51.	6314093	11/6/01	Mann
_____ A52.	6542923	4/1/03	Nguyen
_____ A53.	6708137	3/16/04	Carley
_____ A54.	6745011	6/1/04	Hendrickson
_____ A55.	6754470	6/22/04	Hendrickson

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Form PTO-1449

Sheet 5 of 10

Applicant: Mark Lucovsky, et al.

Serial No.: 10/003,750

Att'y Docket No.: 13768.198.1

Filing Date: October 22, 2001

Group: 2152

Confirmation No.: 4906

For: IDENTITY-CENTRIC DATA ACCESS

U.S. Publication Documents

<u>Examiner Initial*</u>	<u>Publication Number</u>	<u>Date Published</u>	<u>Name</u>
_____ A56.	20030131073	7/10/03	Lucovsky
_____ A57.	20030041076	2/27/03	Lucovsky
_____ A58.	20030050911	3/13/03	Lucovsky
_____ A59.	20030041065	2/27/03	Lucovsky
_____ A60.	20030069887	4/10/03	Lucovsky
_____ A61.	20030061365	3/27/03	White
_____ A62.	20030131069	7/10/03	Lucovsky
_____ A63.	20040006564	1/8/04	Lucovsky
_____ A64.	20030023263	1/30/03	Horvitz
_____ A65.	20030101190	5/29/03	Horvitz
_____ A66.	20030131142	7/10/03	Horvitz
_____ A67.	20040199869	10/7/04	Lucovsky
_____ A68.	20040199861	10/7/04	Lucovsky

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Form PTO-1449

Sheet 6 of 10

Applicant: Mark Lucovsky, et al.

Serial No.: 10/003,750

Att'y Docket No.: 13768.198.1

Filing Date: October 22, 2001

Group: 2152

Confirmation No.: 4906

For: IDENTITY-CENTRIC DATA ACCESS

_____ A69.	20030115228	6/19/03	Horvitz
_____ A70.	20040060002	3/25/04	Lucovsky
_____ A71.	20050273692	12/8/05	Horvitz
_____ A72.	20050278366	12/15/05	Horvitz
_____ A73.	20050278344	12/15/05	Horvitz
_____ A74.	20060036642	2/16/06	Horvitz
_____ A75.	20060161554	7/20/06	Lucovsky
_____ A76.	20070083561	4/12/07	Lai
_____ A77.	20060150140	7/6/06	Elvanoglu
_____ A78.	20050165773	7/28/05	Elvanoglu
_____ A79.	20010023421	9/20/01	Numao
_____ A80.	20020013788	1/31/02	Pennell
_____ A81.	20020019828	2/14/02	Mortl
_____ A82.	20020059342	5/16/02	Gupta
_____ A83.	20020103661	8/1/02	Albazz

Examiner:

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Form PTO-1449

Sheet 7 of 10

Applicant: Mark Lucovsky, et al.

Serial No.: 10/003,750

Att'y Docket No.: 13768.198.1

Filing Date: October 22, 2001

Group: 2152

Confirmation No.: 4906

For: IDENTITY-CENTRIC DATA ACCESS

_____ A84.	20020133627	9/19/02	Maes
_____ A85.	20020157007	10/24/02	Sashihara
_____ A86.	20030004874	1/02/03	Ludwig
_____ A87.	20030133553	7/17/03	Khakoo
_____ A88.	20030135411	7/17/03	Ushiki
_____ A89.	20030149696	8/07/03	Nelson
_____ A90.	20030177135	9/18/03	Lechowicz
_____ A91.	20040010451	1/15/04	Romano
_____ A92.	20040024866	2/05/04	Sundar
_____ A93.	20040199861	10/07/04	Lucovsky
_____ A94.	20040205526	10/14/04	Borodovski
_____ A95.	20040205656	10/14/04	Reulein
_____ A96.	20040267687	12/30/04	Aschen
_____ A97.	20040267763	12/30/04	Aschen

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Form PTO-1449

Sheet 8 of 10

Applicant: Mark Lucovsky, et al.

Serial No.: 10/003,750

Att'y Docket No.: 13768.198.1

Filing Date: October 22, 2001

Group: 2152

Confirmation No.: 4906

For: IDENTITY-CENTRIC DATA ACCESS

_____ A98.	20050100150	5/12/05	Dhara
_____ A99.	20050289447	12/29/05	Hadley
_____ A100.	20060003780	1/5/06	Mamdani
_____ A101.	20060161554	7/20/06	Lucovsky

U.S. Application Documents

<u>Examiner Initial*</u>	<u>Application Number</u>	<u>Date Filed</u>	<u>Name</u>
_____ A102.	10/000,837	10/23/01	Not available in PAIR
_____ A103.	10/136,555	5/1/02	Elvanoglu
_____ A104.	10/696,916	10/30/03	Lai

Foreign Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publ. Date</u>	<u>Country or Patent Office</u>	<u>Sub Class</u>	<u>Class</u>	<u>Trans- lation</u>
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NONE

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Form PTO-1449

Sheet 9 of 10

Applicant: Mark Lucovsky, et al.

Serial No.: 10/003,750

Att'y Docket No.: 13768.198.1

Filing Date: October 22, 2001

Group: 2152

Confirmation No.: 4906

For: IDENTITY-CENTRIC DATA ACCESS

Other Documents

(including author (if listed), title, relevant pages, date of publication including at least month and year).

Examiner

Initial*

- _____ A105. PR Newswire, SAFLINK'S JotterSAF E-Wallet & Privacy Software Now Available for Consumer Download, Feb. 7, 2001, p.1
- _____ A106. M2 Presswire, SCANSOFT: ScsnSoft's new OmniForm Premium 5.0 to Offer Businesses Powerful Forms Management, Software Convertes Paper Forms to Digital for Easy Distribution and Accurate Data Management, Oct. 16, 2001, p.1
- _____ A107. PR Newswire, ScanSoft's New OmniForm Premium 5.0 to Offer Business Powerful Forms Management, Oct. 1, 2001, pp. 1-5
- _____ A108. Freire et al., WebViews: Accessing Personalized Web Content and Services, ACM 2001, pp. 576-586

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Form PTO-1449

Sheet 10 of 10

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Serial No.: 10/003,750

Att'y Docket No.: 13768.198.1

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Group: 2152

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For: IDENTITY-CENTRIC DATA ACCESS

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

CRB0000007108V001

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.